POWER CONSERVATION IN A SERVER CLUSTER

BACKGROUND

5   1.   Field of the Present Invention

The present invention relates generally to the field of data processing networks and more particularly to a server cluster and method in which cached files on powered-down servers remain accessible to the active servers to conserve power consumption without substantially 10   impacting response performance.

2.   History of Related Art

In the field of network computing, network services are frequently provided using a server cluster in which multiple server services are connected together in a local area network configuration. Referring to FIG 2, a conceptualized illustration of an example in which Web 15   based services are provided by a server cluster **118** is presented. Server cluster **118** includes a set of servers **120-123** connected together in a local area network. Server cluster **118** may be configured such that a single universal resource indicator is serviced by any of the servers in the cluster. In other words, clients use a single network address when directing requests to the 20   cluster, and any client request can be serviced by any of the servers in the cluster. In this illustration, a series of client requests, each indicated by a letter in reference numeral **119**, are received by server cluster **118**. Each letter in the series corresponds to the target file of the respective request. Each letter might, for example, identify a unique universal resource locator (URL). The series of requests **119** may include multiple requests for the same target file. The 25   target file corresponding to letter "A," for example, has been requested twice in the illustrated series.

The server cluster **118** includes a request distributor **126** that implements locality aware request distribution (LARD). In a LARD configuration, client requests are routed to respective servers based at least in part on the requested target file. Routing requests in this manner

attempts to distribute loading over the servers and to maximize efficiency by sending requests to the server that most recently serviced a request for a specific target file. In the illustrated example, requests for target files A and E are routed to server **120**, requests for target files B and F are routed to server **121**, requests for target files C and G have been routed to server **122**, and

5   requests for target files D and H have been routed to server **123**.

Each server is shown as including a disk cache or file cache **124**. File cache **124** typically comprises a portion of the respective server's volatile system memory and includes files or other data objects recently retrieved from disk storage **125**. Thus, the file cache **124** of server **120** is shown as including requested files A and E while file cache **124** of server **121** includes requested

10   files B and F and so forth. Data stored in file caches **124**, of course, is retrieved faster than data stored in disk storage **125**.

While distributing requests across multiple servers in a server cluster may provide performance advantages, it may also increase operating costs. The power consumed by each active server in a server cluster has motivated cluster designs in which servers are dynamically

15   powered-down in response to the varying loads of client requests. At times when request loading is low, fewer servers are required to handle the client requests with adequate response performance while, at peaks loads, all servers may be required to deliver acceptable performance.

The advantages offered by LARD may, unfortunately, be inconsistent with the advantages offered by dynamic power loading. More specifically, by dynamically powering

20   down servers to account for reduced loading, a server cluster may negatively impact performance by powering down one or more servers that contain cached copies of recently requested target files. Referring to FIG 3, an illustration of the performance penalty that occurs when a one or more servers is powered-down in a LARD configured server cluster is presented. In this illustration, servers **120** and **121** have been powered-down by the server cluster in an effort to

25   conserve power during a time of reduced traffic. Prior to power-down, server **120** had been servicing requests for target files A and E (as in FIG 2) and server **121** had been servicing requests for target files B and F. Accordingly, the file caches **124** of the remaining active servers **122** and **123** do not contain cached copies of target files A, E, B, and F. When a subsequent request for any of these target files is received, the server cluster must direct the request to one of

the active servers. Because the file caches of the active servers do not include copies of target files A, B, E, and F, subsequent requests for these files have to be serviced from disk storage **125**. It would be desirable to implement a server cluster that benefited from dynamic activation of servers and locality aware request distribution without suffering the disk access performance

5   penalty described above.

SUMMARY OF THE INVENTION

10   The problems identified above are addressed by a data processing system and method in which servers on a server cluster are selectively deactivated based upon loading or some other parameter. A server is deactivated by completing any pending client requests and then transitioning the server's processor(s) to a low power state. The deactivated server continues to provide power to its system memory and network interface card. Prior to deactivation, a server

15   enables the other servers to access its file cache, such as by broadcasting a directory of its disk cache or file cache to other servers on the network. The network interface cards are configured with direct memory access capability. While a server is deactivated, the remaining active servers on the network may access files or other data objects within the deactivated server's file cache over the network through the deactivated server's network interface card.

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BRIEF DESCRIPTION OF THE DRAWINGS

Other objects and advantages of the invention will become apparent upon reading the following detailed description and upon reference to the accompanying drawings in which:

25   FIG 1 is a block diagram of selected elements of a data processing network according to one embodiment of the present invention;

FIG 2 is a conceptualized illustration of a server cluster employing locality aware request distribution;

FIG 3 is a conceptualized depiction of the server cluster of FIG 2 illustrating operation of

the cluster after one or more servers have been powered-down to conserve energy;

FIG 4 is a block diagram of selected features of a server according to one embodiment of the invention;

FIG 5 is a block diagram of selected features of a network interface card according to one embodiment of the invention; and

FIG 6 is a conceptualized depiction illustrating operation of a server cluster according to the present invention.

While the invention is susceptible to various modifications and alternative forms, specific embodiments thereof are shown by way of example in the drawings and will herein be described in detail. It should be understood, however, that the drawings and detailed description presented herein are not intended to limit the invention to the particular embodiment disclosed, but on the contrary, the intention is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the present invention as defined by the appended claims.

DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT
OF THE PRESENT INVENTION

5   Generally speaking the invention contemplates a server cluster and method of operation in which the servers on the cluster may be selectively powered-down or deactivated to reduce energy consumption. When a server is powered up or active, direct memory accesses to the server's memory from other servers on the cluster are prevented. After a server is deactivated, the complete contents of the deactivated server's file cache are available to other servers on the

10   cluster. Before a server is deactivated, it may broadcast a directory of its file cache to the other servers in the cluster. When a server deactivates, it transitions its processor or processors to a low power state while maintaining power to its network interface card and system memory. After the server is deactivated, client requests to files or other data objects in the deactivated server's file cache may be processed by an active server. The active server assigned to handle

15   the request may retrieve the file from deactivated server's memory over the network thereby avoiding a time consuming retrieval of data from disk. In this manner, a server cluster can implement a selective power down scheme without substantially degrading performance.

         Turning now to the drawings, FIG 1 depicts selected features of a data processing network **100** according to one embodiment of the invention. In the depicted embodiment,

20   network **100** includes a client **102** connected to a wide area network **104**. Client **102** typically includes a client application program such as a conventional web browser that is executing on a client device. The client device may comprise a desktop or laptop personal computer, a network computer or workstation, or another network aware device such as a personal digital assistant (PDA) or an Internet enabled phone. Although client **102** is illustrated as remotely connected to

25   server network **101** through the intervening WAN **104**, other clients (not depicted in FIG 1) may comprise a device on the server network itself.

         Wide area network **104** typically includes various network devices such as gateways, routers, hub, and one or more local area networks (LANs) that are interconnected with various media possibly including copper wire, coaxial cables, fiber optic cables, and wireless media.

Wide area network **104** may represent or include portions of the Internet.

In the depicted embodiment, a server network or server cluster **101** is connected to client **102** through a gateway **106** connected to wide area network **104**. Server cluster **101** is typically implemented as a LAN that includes one or more servers **110** (four of which are shown). The

5   servers **110** may be networked together over a shared medium such as in a typical Ethernet or Token ring configuration. The depicted embodiment of server cluster **101** is implemented as a switched or point-to-point network in which each server **110** is connected to a switch **108** that is capable of providing a dedicated connection between any pair of servers **110**.

The servers **110** of server cluster require access to a persistent (non-volatile) storage

10   medium such as a magnetic hard disk. Any server **110** may include its own internal disk and disk drive facilities. In an increasingly prevalent configuration, persistent storage is provided as a networked device or set of devices. Networked storage is identified in FIG 1 by reference numeral **114** and may be implemented as one or more network attached storage (NAS) devices, a storage area network (SAN) or a combination thereof.

15   Referring now to FIG 4, a block diagram of selected elements of server **110** are depicted. Server **110** includes one or more processors **140** that can access a system memory **142** via a system bus **141**. Processors **140** are commercially distributed general purpose microprocessors such as the PowerPC® family of processors from IBM Corporation or an x86-type processor such as the Pentium® family of processors from Intel Corporation. A bus bridge **144** connects

20   system bus **141** to a peripheral bus **146**. The peripheral bus is typically implemented as a Peripheral Components Interface (PCI) compliant bus to which one or more PCI compliant peripheral devices may be connected. In the depicted embodiment, a network interface card (NIC) **148** connected to peripheral bus **146** provides a connection between server **110** and an external network such as the local area network of server cluster **101**. NIC **148** is configured with

25   direct memory access capability that enables it to access the system memory **142** of server **110** without invoking or requiring the assistance of processor **140**. In addition, server **110** is enabled to power down the processor(s) **140** without powering off NIC **148** or system memory **142**.

Referring to FIG 5, selected elements of the NIC **148** according to one embodiment of the invention are depicted. In the depicted embodiment, NIC **148** includes an embedded controller

150 that can access a local memory 152 and a read only memory (ROM) element 153. NIC 148 further includes a DMA unit 156 connected to controller 150. Portions of the invention and NIC 148 may be implemented as a set of computer executable instructions (software) stored on a computer readable medium such as ROM 153. ROM 153 may comprise a flash memory card or

5   an electrically erasable programmable ROM (EEPROM).

Controller 150 is connected to a network interface unit 158 that provides buffering and buffering logic between the server cluster network and controller. Similarly, a peripheral bus interface unit 154 provides appropriate buffering between NIC controller 150 and peripheral bus 146. DMA unit 156 includes a set of DMA registers that are under the programmable control of

10   controller 150. These registers may include a DMA command register for controlling DMA configuration parameters such as the active level of DMA request and acknowledge signals, a DMA mode register defining the DMA transfer mode, address increment/decrement setting, and other DMA mode attributes, a status register indicating whether a DMA request is pending, and one or more DMA address registers. When NIC 148 is instructed to initiate a DMA cycle,

15   controller 150 sets the registers in DMA unit including the address register(s). Controller 150 may then instruct DMA unit 156 to execute the DMA cycle and DMA unit 156 responds by retrieving data from system memory 142 at the address defined by the address registers.

Server cluster 101 is implemented with a power management capability that monitors the server cluster loading and dynamically adjusts the number of servers 110 that are active at any

20   time based on the loading. When the server cluster traffic is low, fewer servers are required to be active. Thus, server cluster 101 may de-activate one or more servers 110 in response to low levels of network traffic. In one embodiment of the invention, server cluster 101 de-activates a server 110 by transitioning the server's processor(s) to a low power state. Commercially distributed microprocessors typically include software commands or resets that transition the

25   processor into a reduced power consumption state. Such states may be referred to as sleep mode, standby mode, and a variety of other terms. In any of these low power states, the processors 140 draw substantially less current than in the normal operating state.

When server cluster 101 determines that cluster traffic justifies the deactivation of one or more servers 110, the server(s) 110 selected for de-activation are transitioned to a power state in

which the processors **140** are in a low power state while power remains supplied to NIC **148**, bus bridge **144**, peripheral bus **146**, system bus **141**, and system memory **144**. Server cluster **101** may send a message to a server **110** informing the server that has been selected for deactivation.

When a server **110** is selected for de-activation, the server will first complete any active requests that are pending. Upon completing any pending requests, a server selected for deactivation places its processors **140** into low power state. The selected server remains in this state until it is instructed to return to full power by the request distributor **126**.

Each server **110** on server cluster **101** may implement a simple protocol that prevents other servers from accessing the contents of its memory when it is powered up, but allows the other servers to access the contents of its file cache when it is deactivated. In one embodiment, a selected server **110** may broadcast to each server **110** in server cluster **101** a directory of the server's file cache. Each server **110** that receives such a broadcast message will store the received directory in its memory. After a server **110** has broadcast its file cache directory to the server cluster, the server may enter a low power state by issuing an appropriate command or interrupt to the server's processor **140**. If server **110** is subsequently instructed to return to full power, it first broadcasts to each server **110** in server cluster **101** a message revoking access to its file cache. When each server **110** has acknowledged receipt of this message, server **110** can begin accepting and processing new client requests.

After a server **110** has been deactivated, client requests are no longer routed to the server. If another server, however, receives a client request for a file or other data object that was in the file cache of a de-activated server, the server **110** receiving the request will determine from its copy of the de-activated server's file cache directory that the de-activated server's system memory has a copy of the requested file. The server **110** processing the client request will then send a message to the de-activated server to retrieve the requested file. The de-activated server's NIC **148** will then perform a DMA retrieval of the requested file and forward it back to the server handling the request.

Referring to FIG 6, operation of an embodiment of server cluster **101** is illustrated in a conceptualized format analogous to the illustration of the operation of the conventional server cluster **118** presented in FIG 3. Similar to FIG 3, FIG 6 depicts a series of clients requests that

are received by server cluster **101**. Server cluster **101** includes a request distributor **116** analogous to request distributor **126** of server cluster **118** that forwards each of the received requests to a server in the cluster. As depicted in FIG 6, server **110** has been deactivated at a time when target files A and E are in its file cache **122** while server **111** has been deactivated at a time when target files B and F are in its file cache **122**. Prior to deactivation, directories of these file caches have been broadcast to servers **112** and **113**. When a subsequent request for a target file contained in the file caches **122** of deactivated servers **110** or server **111** are received by server cluster **101** and routed by request distributor **116** to one of the remaining active servers (**122** or **123**), the active server handling the request retrieves the requested target files from the system memory of the appropriate deactivated servers using the DMA capability of the deactivated server's NIC. In this manner, response performance is improved by reducing accesses to disk storage **114**. Thus, FIG 6 illustrates a retrieval of target files A and E by server **112** from file cache **122** of deactivated server **110** and a retrieval of target files B and F by server **113** from file cache **122** of deactivated server **111**. This DMA retrieval of files from the system memories of deactivated servers on the cluster is contrasted to the retrieval of requested files from disk storage as depicted in FIG 3 when a requested file resides in the file cache of a deactivated server.

It will be apparent to those skilled in the art having the benefit of this disclosure that the present invention contemplates a method of implementing a power managed server cluster without unnecessarily increasing the number of data accesses to disk storage. It is understood that the form of the invention shown and described in the detailed description and the drawings are to be taken merely as presently preferred examples. It is intended that the following claims be interpreted broadly to embrace all the variations of the preferred embodiments disclosed.